

Estimating Planetary Boundary Layer Heights from NOAA Profiler

Network Wind Profiler Data

A. Molod¹

NASA/Goddard Space Flight Center, Greenbelt, MD 20771

H. Salmun²

Hunter College of the City Univ. of New York, New York, NY 10065

M. Dempsey

Earth and Environmental Science Doctoral Program, The Graduate Center of CUNY

New York, NY 10016

¹ Additional affiliation: Earth System Science Interdisciplinary Center, Univ. of MD, College Park, MD 20440.

² Additional affiliation: Earth and Environmental Science Doctoral Program, The Graduate Center of CUNY, New York, NY 10016.

Corresponding author: Andrea Molod, email: andrea.molod@nasa.gov

16

Abstract

17

18 An algorithm was developed to estimate planetary boundary layer (PBL) heights from hourly
19 archived wind profiler data from the NOAA Profiler Network (NPN) sites located throughout the
20 central United States. Unlike previous studies, the present algorithm has been applied to a long
21 record of publicly available wind profiler signal backscatter data. Under clear conditions,
22 summertime averaged hourly time series of PBL heights compare well with Richardson-number
23 based estimates at the few NPN stations with hourly temperature measurements. Comparisons
24 with clear sky reanalysis based estimates show that the wind profiler PBL heights are lower by
25 approximately 250-500 m. The geographical distribution of daily maximum PBL heights
26 corresponds well with the expected distribution based on patterns of surface temperature and soil
27 moisture. Wind profiler PBL heights were also estimated under mostly cloudy conditions, and
28 are generally higher than both the Richardson number based and reanalysis PBL heights,
29 resulting in a smaller clear-cloudy condition difference. The algorithm presented here was shown
30 to provide a reliable summertime climatology of daytime hourly PBL heights throughout the
31 central United States.

32 **Introduction**

33

34 The planetary boundary layer (PBL) is the shallow layer of the troposphere nearest to the
35 Earth's surface that, particularly over land, exhibits a diurnal variation due to the exchange of
36 energy and momentum between the surface and the atmosphere. The depth of the PBL can range
37 from less than one hundred meters to several kilometers. Knowledge of the PBL depth and its
38 fluctuations in time are also essential for the estimation of the transport of atmospheric
39 constituents, and in particular to estimate the terms in the atmospheric carbon budget (Denning et
40 al. 2011).

41

42 Many methods exist for measuring the PBL depth, including the use of radiosondes
43 (Seidel et al. 2010; Liu and Liang 2010), aircraft (Spangler and Dirks 1974), sodar (Beyrich
44 1997), wind profilers (Angevine et al. 1994), lidar (Lammert and Bösenberg 2006; Lewis et al.
45 2012) and Global Positioning System (GPS) radio occultation (Guo et al. 2011; Ao et al. 2012).
46 Each of these methods comes with its own advantages and limitations, so the best option is to use
47 some combination of methods (Seibert et al. 2000). For instance, radiosonde ascents, while
48 performed operationally in numerous locations across the world, are generally limited to twice
49 per day. Aircraft sampling provides spatial information that is useful, but is generally limited to
50 particular regions or specific campaigns and is quite expensive. Lidar has a very high sampling
51 rate, but is limited in that it cannot remain unattended for long periods of time. Wind profilers
52 are quite useful for measuring PBL depths because they can be left unattended for extended time
53 periods, can provide a continuous stream of data over time, and there is an extensive network of

54 operational wind profiler stations in some regions of the world. Wind profilers are, however,
55 limited by the fact that there is generally no sampling below 500 m above the earth's surface.

56

57 In addition to the large variety of instruments to measure PBL depth, there is also a large
58 variety of algorithms used to determine the PBL depth. In addition, the physical quantity being
59 measured may vary depending on the measurement method. Even for a single instrument, there
60 are multiple ways to determine the PBL depth. For example, lidar-derived PBL depths have been
61 obtained from gradients or variance in the backscatter profile, wavelet covariance, and fits to
62 idealized profiles (Hooper and Eloranta 1986; Flamant et al. 1997; Steyn et al. 1999; Davis et al.
63 2000).

64

65 One of the earliest successful algorithm to compute PBL height using wind profiler signal
66 to noise ratio (SNR) measurements was developed by Angevine et al. (1994). Their algorithm
67 was tested using data from a site in Alabama during June 1992. They determined the column
68 maximum SNR every six minutes and took the median of these values for the half hour before
69 and after a given hour and used the median as the height of the PBL. The median was used
70 instead of the mean so as not to give outliers any great emphasis. The algorithm included a
71 technique to remove spurious high values of SNR due to ground clutter.

72

73 Bianco and Wilczak (2002) developed a PBL height algorithm using wind profiler SNR
74 that was designed to improve on the shortcomings of the algorithm of Angevine et al. (1994).
75 They developed a fuzzy logic algorithm to improve on the elimination of ground clutter and
76 another fuzzy logic algorithm to determine the depth of PBL. The second algorithm uses

77 measures of the peak, gradient, curvature and variance of the hourly median SNR profile along
78 with the variance of the vertical velocity. The fuzzy logic functions were developed using data
79 from a site in California, and tested against data from a site near Houston, TX. The fuzzy logic
80 algorithm showed marked improvements relative to Angevine et al. (1994), particularly in the
81 early morning hours.

82

83 Bianco et al. (2008) improved on Bianco and Wilczak (2002)'s methodology for
84 selecting PBL heights by modifying the fuzzy logic algorithm to eliminate ground clutter, and by
85 utilizing the Doppler spectral width to clarify which of multiple maxima in the profile of SNRs
86 correspond to the PBL height. The Doppler spectral width is sensitive to small-scale turbulent
87 fluctuations and was used to detect the presence of an entrainment zone near the top of a growing
88 boundary layer. The modified algorithm was applied to both clear and cloudy boundary layers at
89 sites in Pittsburgh, PA and Plymouth, MA, and was shown to improve PBL estimates on clear
90 days relative to a subjective PBL height determination, but did not perform as well on cloudy
91 days. Heo et al. (2003) also addressed the issue of multiple maxima utilizing the Doppler spectral
92 width.

93

94 The covariance wavelet transform (CWT) method, previously used for estimating PBL
95 heights from lidar data (Cohn and Angevine, 2000 and Lewis et al., 2013), was used by Compton
96 et al. (2013) to estimate PBL heights from wind profiler data collected near Beltsville, MD
97 during July 2011. Their results showed that the CWT method can successfully determine PBL
98 height as compared to radiosonde and lidar PBL height estimates, although some special
99 treatment of early morning SNR data was needed to avoid spurious PBL heights.

100
101 In the present study, a new algorithm using archived wind profiler signal data to estimate
102 PBL heights is presented. Data are from the NOAA Profiler Network (NPN) sites located mostly
103 throughout the central United States. Our study uses data from approximately 30 NPN stations
104 during the months of June, July and August of 2000 through 2005. The new algorithm relies on
105 the existence of publicly available backscatter signal data (SNR is not archived), is relatively
106 simple and therefore not site-specific and potentially more robust. Following this introduction,
107 Section 2 describes the various data sources used to develop, test and validate the algorithm to
108 estimate PBL heights, and section 3 describes in detail the algorithm developed here. An analysis
109 of the algorithm's performance and results under clear and mostly cloudy conditions is discussed
110 in Section 4, and the study and results are summarized in section 5.

111
112 **2. Data for PBL Height estimation and validation**
113
114 2a. Wind Profilers
115
116 Wind profiler data were obtained from the NOAA Profiler Network (NPN) archive site
117 (<http://www.profiler.noaa.gov/npn/index.jsp>). The majority of the NPN stations are in the central
118 United States, and our study is restricted to that region. The locations of the 31 stations in the
119 study region are marked on Figure 1. Our study period is June, July and August of the years 2000
120 through 2005. The wind profilers that are part of the NPN are ultra high frequency (UHF) active
121 remote sensing Doppler radars, operating in a frequency range (404 MHz in general, one
122 instrument at 449 MHz). The NPN wind profilers operate with range gates spaced 250 m apart in

123 the vertical, beginning 500 m above the surface. The profilers record backscatter and signal to
124 noise ratios every 6 minutes, but the archive consists of hourly averages of the signal backscatter
125 only.

126

127 In the frequency range at which the profilers transmit, the signal is undergoing Bragg
128 scatter, essentially responding to changes in atmospheric density. These density changes are
129 caused by changes in water vapor, temperature, aerosol or hydrometeor content. Changes in
130 atmospheric aerosol, water vapor or temperature with height are sharpest near the top of the
131 planetary boundary layer, and so the wind profiler data may be used to detect boundary layer
132 height.

133

134 The limitations of wind profiler data were addressed in a technical report provided by the
135 Federal Coordinator for Meteorological Service and Supporting Research 1998 (FCM-R14-
136 1998). UHF wind profilers are limited in that they must assume a local horizontal uniformity. An
137 example of problems related to inhomogeneous terrain will be shown in section 4. Two other
138 issues related to wind profiler data are contamination from migrating birds and insect swarms,
139 which may flood the signal return. In addition, because of potential interference with the
140 receivers on the six polar-orbiting satellites, the wind profiler's transmitter shuts down for 6
141 minutes during satellite overpasses. This occurs about 7 times daily (varying between 4 and 10
142 times) for each site in the network. One of the most significant limitations for the use of wind
143 profiler data to compute PBL heights is the inability to gather data between the surface and

144 500 m, and therefore precludes the ability to measure nocturnal PBL heights. Despite these
145 limitations, wind profiler data may be used to provide long-term hourly time series of daytime
146 PBL heights.

147

148 2b. Additional data for the Algorithm and its Validation

149

150 Twelve of the wind profiler sites are equipped with Radio Acoustic Sounder System
151 (RASS) instruments. RASS-based profiles of virtual temperature are provided in the NPN
152 archive, and are used in this study along with the retrieved wind profiles from the profilers to
153 estimate a Richardson-number based PBL height, which will be described in the next section.
154 The RASS virtual temperature retrieval algorithm is based on the sensitivity of the speed of
155 sound to temperature. The RASS instruments emit acoustic energy and measure the speed of the
156 sound waves as they propagate up through the atmosphere (Singal and Goel, 1997).

157

158 The analysis of PBL heights includes distinctions between clear and cloudy days. Cloud
159 cover at the NPN sites was determined based on data from the International Satellite Cloud
160 Climatology Project (ISCCP) D1 data (Rossow et al., 1996), which is a global gridded cloud
161 product with a resolution of 290 km^2 at 3-hour intervals. For this study we used the cloud cover
162 percentages (number of cloudy pixels/total number of pixels times 100) for the grid square
163 closest to a given NPN station. ISCCP data were chosen for the determination of cloud cover due
164 to the availability of high temporal resolution data during the time span of NPN data.

165

166 Reanalysis estimates of PBL height for comparison with wind profiler estimates were
167 obtained from the Modern-Era Retrospective Analysis for Research and Applications (MERRA,
168 Rienecker et al. 2011) two-dimensional surface turbulent flux dataset (tavg1_2d_flx_Nx). Files
169 were obtained from the NASA/Goddard MDISC (<http://disc.sci.gsfc.nasa.gov/mdiisc/data-holdings>). These data are available hourly, at a spatial resolution of 0.667° in longitude and 0.5°
170 in latitude. MERRA PBL heights are diagnosed by the turbulence parameterization in the
171 underlying atmospheric general circulation model based on the eddy diffusivity coefficient for
172 heat. The PBL height is diagnosed as the level at which the coefficients drop below a value of 2
173 m s^{-2} . Clear sky daily maximum MERRA PBL heights were shown to be generally lower than
174 satellite based Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO)
175 estimates over tropical oceans (Jordan et al. 2010), and shown to be relatively consistent with
176 CALIPSO over land (McGrath-Spangler et al. 2012).
177

178

179 **3. Estimation of PBL Height**

180

181 The algorithm for estimating PBL heights from wind profiler (WP) data was initially
182 developed for clear sky conditions and refined using data from a station for which RASS
183 temperature measurements were available. Cloud cover was determined using the ISCCP data at
184 the grid point containing the station under examination, and clear days were selected based on
185 the condition that at 10AM, 1PM and 4PM (local time) there was 0% cloud cover. The initial
186 step of the algorithm, and a unique feature of the algorithm developed in this study, consists of
187 determining the time of day at which the PBL rises from its nocturnal value into the range of
188 instrument detection at 500 m above the ground. This step of the algorithm serves the role served

189 by complex elements (e.g., Bianco et al., 2008) or specific limits (Compton et al., 2013) that are
190 present in many algorithms to deal with the noisy morning SNR profiles that are measured when
191 the PBL height is below instrument range. The underlying assumption for the algorithm
192 developed here as well as most lidar or wind profiler PBL height algorithms is that the gradients
193 of moisture, hydrometeors or particles at or near the PBL height will be manifest as maxima in
194 the signal backscatter at the detector. The time at which the PBL height emerges into the
195 instrument's range is therefore the time at which the signal backscatter at the 500 m level is at its
196 daily maximum. Once this “emergence time” is established, the vertical profile of signal
197 backscatter is examined at each subsequent hour to determine the wind profiler (WP) PBL
198 height. If only one local maximum exists for a given hour's profile, the PBL height is assigned to
199 the height of that maximum. If multiple local maxima exist, as was the case for the vast majority
200 of profiles examined, the standard deviation of the column backscatter (up to the level of the
201 largest local maximum) is used to choose which among the local maxima is the “true maximum”,
202 and the PBL height is assigned to the height of that “true maximum”. Starting from the lowest
203 height at which a local signal maximum exists, each maximum is evaluated against the local
204 minimum above it using the column standard deviation to determine whether it is a “true
205 maximum” or a small “wiggle” in the profile and therefore not the PBL. Any signal maximum
206 value not larger than the minimum above it by more than 1 standard deviation is deemed a
207 “wiggle” and the process of evaluating local maxima proceeds upwards in the column. If a “true
208 maximum” is found, the PBL height is assigned to the height of that maximum, if none is found
209 the algorithm does not return a value for the WP PBL height.
210

211 PBL heights were also estimated at the NPN stations with RASS instruments (8 of the
212 stations) using the retrieved virtual temperature profiles and the retrieved wind profiles in the
213 NPN archive. The temperature and wind fields were used to compute a bulk Richardson number
214 (Ri_b) based PBL height estimate after Seidel et al. (2010). The bulk Richardson, Ri_b , number
215 used is given by:

$$216 \quad Ri_b = \frac{\left(\frac{g}{\theta_v}\right)(\theta_{vz} - \theta_{vs})(z - z_s)}{u_z^2 + v_z^2},$$

217 where g is gravity, θ_v is the virtual potential temperature, u and v are the horizontal wind
218 components, and z is height. The subscript s denotes the surface and the surface winds are
219 assumed zero. This bulk Richardson number is evaluated based on differences between the
220 surface and successively higher heights, assuming that the surface layer is unstable, and the PBL
221 top is identified as the level at which Ri_b exceeds a critical value of 0.25. This additional quasi-
222 independent estimate of PBL height was used for validation purposes during the algorithm
223 development and for comparison afterwards. Seidel et al. (2010) found that this Ri_b PBL height
224 algorithm outperformed a θ_v gradient algorithm such as the one used in Bianco and Wilczak
225 (2002) for validation.

226

227 An example of the correspondence between the PBL height selected by the WP algorithm
228 and the vertical profiles of the wind profiler backscatter is shown in Figure 2, based on data for
229 Station 74541 (Havilland, KS) on July 4, 2003. The co-location of the maximum in the contours
230 of signal strength with the PBL height (black stars) at each time of day is depicted in figure 2a
231 and demonstrates the general behavior of the algorithm developed here. In this example, the
232 PBL height rises above 500 m in the late morning; it reaches the daytime maximum of

233 approximately 2000 m in the late afternoon and remains there until 7PM local time. The
234 existence of elevated PBL heights late in the afternoon is to be expected based on the response of
235 the wind profiler to aerosol/hydrometeor loads, which on a clear day essentially measures the
236 height of an “aerosol boundary layer”. Similar behavior of the diurnal cycle was found by
237 Angevine et al. (1994) using wind profiler SNR at a location in Alabama, by Cohn and Angevine
238 (2000) at a location near Champagne, IL. and by Lewis et al. (2013) at a location in Beltsville,
239 MD. Figure 2b shows the vertical profiles of the wind profiler backscatter up to a height of 4000
240 m for every hour starting at 1PM local time. Figure 2b shows clearly that at each time of day
241 there are multiple maxima in the profiles, and the ability to distinguish between them is an
242 important element of the algorithm.

243

244 **4. Results and Discussion**

245

246 The WP PBL height algorithm was applied to all available data from the stations shown
247 in Figure 1, for the months of June, July and August of 2000 through 2005. Only data with a “0”
248 quality control flag were considered. Comparisons were made to PBL height estimates obtained
249 using the Richardson-number based calculation described in Section 3 and to the PBL heights
250 from MERRA, which are model-based estimates using observationally constrained atmospheric
251 profiles. An example of an individual station’s full time series is shown in Figure 3 for
252 illustration, but the focus of the results to be presented is on mean diurnal cycles for each station
253 and for each PBL height estimate (WP, Ri_b and MERRA) under both clear and mostly cloudy
254 conditions. The mean diurnal cycle is computed as the average at each time of day over all clear

255 (or cloudy) days in the study's time span. The time span was adequate to provide at least 10 days
256 in each category for the calculation of the mean diurnal cycle.

257

258 An example of a PBL height time series for all the clear days at the Havilland, KS station
259 during June, July and August of 2000 through 2005 is shown in Figure 3. The time series curves
260 in Figures 3a and 3b represent the PBL evolution over a series of juxtaposed 10-hour segments
261 from 10PM to 7PM on a series of clear days for the WP, Ri_b and MERRA estimates. The station-
262 derived (Figure 3a) clear sky determination is based on ISCCP data, and the MERRA
263 determination (Figure 3b) uses its own estimate of cloud fraction and thus is represented by a
264 different sample of days. The daily maxima of the WP and MERRA PBL heights range between
265 2000 m and 3000 m, with MERRA PBL heights occasionally reaching values up to 3500 m. The
266 daily maximum of the Richardson-number based PBL height is slightly lower and ranges
267 between 1500 m and 2500 m. The clear day mean diurnal cycle for the station in Figures 3a and
268 3b is shown in Figure 3c. In this example, the mean diurnal cycle of the WP and Ri_b PBL heights
269 are very similar throughout the day and both estimates are lower than the MERRA PBL heights.

270

271 As was mentioned in section 2a, inhomogeneous terrain surrounding wind profiler
272 stations may present problems for use of wind profiler data. A map of the terrain variance at
273 scales less than 3 km in the study region (Figure 4a) indicates that New Mexico, Wyoming and
274 Colorado are characterized by large topographic variations of the kind that may interfere with the
275 use of wind profiler backscatter data to determine PBL heights. An example of the typical
276 behavior of the WP algorithm over the station in White Sands, NM, is shown in Figures 4b and
277 4c. The signal backscatter decreases with height up to approximately 1750 m at all times of the

278 day, and then above that level increases to a local maximum at approximately 2250 m. This
279 behavior makes it difficult to subjectively determine a PBL height “by eye”, and in practice the
280 step in the algorithm that searches for a PBL emergence time fails. This behavior is typical for
281 the stations in New Mexico, Colorado and Wyoming, and for this reason they are removed from
282 the analysis of the WP PBL heights to be presented in the remainder of Section 4.

283

284 Another type of issue with the WP PBL height algorithm at particular stations is
285 demonstrated in Figure 5, in which the signal backscatter (Figures 5a and 5c) and line plots at
286 two individual times (Figures 5b and 5d) are shown from the station in Lathrop, MO on a clear
287 day, July 21, 2002, and on a cloudy day, August 9, 2002. In the clear day example shown here,
288 the backscatter signal maximum occurs at a level that grows (unreasonably) rapidly in the
289 morning hours, from 750 m to 2000 m in the span of an hour, remains constant at 2000 m at all
290 times of day after 12 Noon, and rises to 2700 m at 7 PM. In the cloudy sky example, the rapid
291 growth is from 500 m to 1750 m in the span of an hour, rising to 2000 m soon afterwards and
292 remaining at 2000 m throughout the day. This pattern of behavior occurs at many clear and
293 cloudy days during the study period, at the station depicted in Figure 5 and at the nearby station
294 in Wolcott, IN (station ID 74466), and determines the behavior of the mean WP PBL height
295 diurnal cycle under clear and cloudy conditions at those two stations. An aerosol layer advected
296 into the range of the station could potentially cause such behavior, but due to the unusual pattern
297 of signal backscatter these two stations are also excluded from the analysis in this section.

298

299 4a. Clear Sky PBL Heights

300

301 The mean diurnal cycles under clear sky conditions for the seven remaining stations with
302 RASS data are shown in Figure 6. In general throughout the morning and the early afternoon the
303 estimates of PBL height from the WP algorithm (red) and from the Ri_b algorithm (green) are in
304 close agreement and lie below the MERRA PBL heights (blue). In the late afternoon, the WP
305 PBL heights lay between the Ri_b and MERRA PBL heights, as the Ri_b PBL heights drop in many
306 cases and the WP PBL heights generally remain elevated. In addition, the rate of morning PBL
307 height growth is similar among all three PBL height estimates. This characterization of the
308 relationship among the clear sky mean diurnal cycles of the WP, Ri_b and MERRA PBL heights
309 also holds for the stations without RASS instruments.

310

311 The seasonal mean clear sky WP PBL heights at station 74546 (Hillsboro, KS, Figure 6c)
312 are comparable in magnitude and diurnal cycle to the PBL height estimates of Liu and Liang
313 (2010) using radiosonde profiles at the nearby Atmospheric Radiation Measurement Southern
314 Great Plains (ARM SGP) site. The median radiosonde-derived PBL heights during June, July
315 and August reach a daily maximum of approximately 1700 m just after 3PM local time, remain
316 elevated until 6PM, and then drop quickly. The WP PBL heights seen in figure 6c also reach a
317 daily maximum of approximately 1700 m at approximately 4PM and remain elevated for the
318 remainder of the day. The Ri_b PBL heights are lower (maximum of 1200 m), and the MERRA
319 PBL heights are higher (near 2000 m).

320

321 Figure 7 shows the geographical distribution of the daily maximum clear sky PBL
322 heights from the WP and MERRA estimates at all the wind profiler stations in the study region.
323 The WP PBL heights are highest at the stations located to the west and south and decrease

324 eastward and northward. This pattern generally follows the expected dependence of PBL height
325 on surface temperature and moisture, where the higher PBL heights are found in the warmer and
326 drier areas to the west and south, and lower PBL heights are found in the cooler and moister
327 areas to the north and east. The pattern of MERRA PBL heights is quite different, with large
328 PBL heights in the center of the region. In general, as was seen in Figure 6 at the RASS stations,
329 MERRA PBL heights are higher than WP PBL heights. The warm summertime bias in MERRA
330 surface temperatures in the Great Plains (Bosilovich, 2013) would suggest that MERRA PBL
331 heights are biased high. The warm MERRA surface temperatures along with the agreement
332 between WP PBL heights and the ARM SGP estimates of Liu and Liang (2010) support the
333 credibility of the WP PBL height estimates. The daily maximum PBL height at the station in
334 Winchester, IL (station ID 74556) is approximately 1500 m in both the WP and MERRA
335 estimates. These values are in good agreement with the daily maximum PBL height estimates
336 under clear conditions obtained by Angevine et al. (1998) and by Cohn and Angevine (2000) as
337 part of the Flatlands 1995 and 1996 experiments in nearby Champagne, IL. The daily maximum
338 values at Lamont, OK (station ID 74649) are also in good agreement with the wind profiler and
339 radiosonde PBL heights computed by Simpson et al. (2007) during selected days in July 2003
340 over Oklahoma City, OK.

341

342 4b. PBL Heights under cloudy conditions

343

344 Algorithms to estimate PBL heights from lidar or wind profiler data have generally been
345 restricted to clear conditions (Angevine et al., 1994, Bianco and Wilczak, 2002, Lewis et al.,
346 2013), or have attempted to estimate PBL heights in cloudy conditions with limited success

347 (Bianco et al., 2008). The present WP PBL height algorithm was applied on all the mostly
348 cloudy days during the study period at each station. The partially cloudy profiles resulted in
349 ambiguous PBL heights, but the PBL heights for the mostly cloudy profiles were coherent.

350

351 The mean diurnal cycles under mostly cloudy (>50% cloud cover) conditions for the
352 seven stations with RASS data are shown in Figure 8 alongside the clear sky PBL heights shown
353 in Figure 6. At most stations, the cloudy sky PBL heights from WP, Ri_b and MERRA are in close
354 agreement from the morning until approximately 3PM. After this time, the MERRA cloudy sky
355 PBL heights drop while the WP and Ri_b PBL heights remain aloft. The cloudy sky PBL heights
356 are expected to be lower than the clear sky values due to the decreased net radiation at the
357 surface under cloudy conditions, and this is seen in all 3 PBL height estimates. The MERRA
358 PBL heights exhibit the largest clear-cloudy difference throughout the day, with values up to
359 1000 m (consistent with a possible overestimate of MERRA clear sky PBL heights), the Ri_b PBL
360 heights are generally close to 500 m, also throughout the day, and the WP PBL height difference
361 is smallest, with values generally near 0 in the morning and closer to 250 m after 2PM.

362

363 Figure 9 shows the geographical distribution of the daily maximum clear - cloudy sky
364 PBL height difference from the WP and MERRA estimates at the wind profiler stations in the
365 study region. The behavior at all the stations is qualitatively the same as the behavior at the
366 stations with RASS shown in Figure 8. That is, the MERRA clear-cloudy PBL height difference
367 is generally quite a bit larger (by approximately 750 m) than the WP difference. WP clear-cloudy
368 difference also shows the geographic pattern seen in the clear sky PBL heights, with a larger
369 clear-cloudy difference in the western areas of the study region, and smaller difference in the

370 eastern areas. This pattern stems from a more geographically uniform cloudy sky PBL height,
371 suggesting that the cloudy sky PBL height is less sensitive to the surface temperature and
372 moisture than the clear sky PBL height. MERRA PBL clear-cloudy PBL height difference shows
373 little of this geographical pattern.

374

375 **5. Summary and Conclusions**

376

377 An algorithm was developed to compute planetary boundary layer (PBL) heights using
378 wind profiler backscatter signal data archived by the NOAA wind profiler network. Data for this
379 study were from June, July and August of 2000 through 2005, and the study area is the central
380 United States. The wind profiler (WP) PBL height algorithm estimates the “emergence time” of
381 the PBL height into the range detectable by the instrument and selects the appropriate local
382 maximum backscatter value in each column to designate as the PBL height. WP PBL heights
383 were evaluated under clear and cloudy conditions relative to PBL height estimates from MERRA
384 reanalysis and from a quasi-independent estimate based on RASS temperature profiles available
385 at a subset of the NOAA wind profiler stations using a bulk Richardson number (Ri_b) algorithm.
386 At some stations the variation with height of the signal backscatter data does not reflect the PBL
387 discontinuity, because of topographic variations (the 6 stations in WY, CO and NM) or due to
388 the possible presence of aerosol layers advected from nearby (the stations at Lathrop, MO and at
389 Wolcott, IN), and these stations were excluded from the study.

390

391 The algorithm presented here is characterized by its simplicity, in that it requires few
392 steps and contains little site specific tuning. In addition, unlike many previous studies, the

393 validation of the present algorithm was comprehensive, and the WP PBL heights were evaluated
394 over a long period of time and over a wide geographic range. The robustness is largely due to the
395 simplicity.

396

397 Clear sky mean diurnal cycles typically show the PBL emergence into instrument range
398 occurring at approximately 10 to 11AM. The WP PBL height continues to increase to its daily
399 maximum at approximately 4PM and levels off afterwards. WP PBL heights agree with Ri_b
400 based PBL heights at RASS stations in the morning, are higher by approximately 250 m than the
401 Ri_b PBL heights in the afternoon, and are lower in general than MERRA PBL heights by up to
402 500 m in the late afternoon. The geographical distribution of daily maximum WP PBL heights
403 follows the expected variation with temperature and moisture, where higher PBL heights occur
404 over warmer and drier terrain, a distribution not reflected in the MERRA PBL heights.

405

406 Cloudy sky WP PBL heights show a similar general diurnal cycle as the clear sky heights
407 in terms of emergence time and time of daily maximum, and are generally lower than clear sky
408 PBL heights as expected. The cloudy sky WP PBL heights are higher than both the MERRA and
409 the Ri_b PBL heights by up to 500 m in some cases in the late afternoon. The clear-cloudy PBL
410 height differences are smaller for the WP PBL heights than for either the Ri_b (by up to 250 m) or
411 MERRA PBL heights (by up to 500 m), possibly reflecting an overestimate of WP cloudy sky
412 PBL heights and an overestimate of MERRA clear sky PBL heights. The geographical
413 distribution of the clear-cloudy difference in daily maximum PBL heights is smoother than the
414 clear sky PBL height distribution, but also reflects the variations in temperature and moisture,
415 where larger clear-cloudy differences occur in warmer and drier areas.

416

417 The present study has shown that existing data archives from the NOAA Profiler
418 Network (NPN) can be used to provide reliable estimates of hourly PBL heights under clear and
419 mostly cloudy conditions at an extensive set of locations in the central United States. Signal
420 backscatter data are available from the NPN throughout the year, and for varying time periods at
421 different stations. Future work will extend the temporal scope of this study to include the entire
422 time span for each station in the wind profiler network archive, and include the analysis of
423 annual cycles and interannual variability.

424

425 **References:**

426

427 Angevine, W. M., A. W. Grimsdell, L. M. Hartten, and A. C. Delany, 1998: The Flatland
428 Boundary Layer Experiments. *Bull. Amer. Meteor. Soc.*, 79, 499-431.

429

430 Angevine, W. M., A. B. White and, S. K. Avery, 1994: Boundary-Layer Depth and Entrainment
431 Zone Characterization with a Boundary-Layer Profiler. *Boundary Layer Meteor.*, 68, 375-385.

432

433 Ao, C. O., D. E. Waliser, S. K. Chan, J.-L. Li, B. Tian, F. Xie, and A. J. Mannucci, 2012:
434 Planetary boundary layer heights from GPS radio occultation refractivity and humidity profiles,
435 *J. Geophys. Res.*, 117(D16117), doi:10.1029/2012JD017598.

436

437 Beyrich, F., 1997: Mixing height estimation from sodar data – A critical discussion. *Atmos.*
438 *Environ.*, 31:23, 3941-3953.

439

440 Bianco, L., J. M. Wilczak, and A. B. White, 2008: Convective Boundary Layer Depth Estimation
441 from Wind Profilers: Statistical Comparison between an Automated Algorithm and Expert
442 Estimations. *J. Atmos. Oceanic Tech.*, 25, 1397-1413.

443

444 Bianco, L., and J. M. Wilczak, 2002: Convective Boundary Layer Depth: Improved
445 Measurement by Doppler Radar Wind Profiler Using Fuzzy Logic Methods. *J. Atmos. Oceanic
446 Tech.*, 19, 1745-1758.

447

448 Bosilovich, M. G., 2013: Regional Climate and Variability of NASA MERRA and Recent
449 Reanalyses: U.S. Summertime Precipitation and Temperature. *J. Appl. Meteor. Climatol.*, 52,
450 1939-1951. doi:10.1175/JAMC-D-12-0291.1.

451

452 Cohn, A. S., and W. M. Angevine, 2000: Boundary Layer Height and Entrainment Zone
453 Thickness Measured by Lidars and Wind-Profiling Radars. *J. Appl. Meteor.*, 39, 1233–1247.

454

455 Compton, J.C., R. Delgado, T.A. Berkoff , and R. M. Hoff, 2013: Determination of Planetary
456 Boundary Layer Height on short Spatial and Temporal Scales: A Demonstration of the
457 Covariance Wavelet Transform in Ground-Based Wind Profiler and Lidar Measurements. *J.*
458 *Atmos. Oceanic Tech.*, 30, 1566-1575.

459

460 Davis, K. J., N. Gamage, C. R. Hagelberg, C. Kiemle, D. H. Lenchow, and P. P. Sullivan, 2000:
461 An Objective Method for Deriving Atmospheric Structure from Airborne Lidar Observations. *J.*
462 *Atmos. Oceanic Tech.*, 17, 1455-1468.

463

464 Denning, A. S., T. Takahashi, and P. Friedlingstein, 2011: Can a strong atmospheric CO₂
465 rectifier effect be reconciled with a “reasonable” carbon budget? *Tellus B*, 51, 249-253.

466

467 Flamant, C., J. Pelon, P. H. Flamant, and P. Durand, 1997: Lidar determination of the
468 entrainment zone thickness at the top of the unstable marine atmospheric boundary layer,
469 *Bound.-Lay. Meteorol.*, 83, 247-284.

470

471 Federal Coordinator for Meteorological Service and Supporting Research, 1998: U. S. Wind
472 Profilers: A Review. Technical Report, March 1998, Washington, DC. pp. 57. FCM-R14-1998.

473

474 Guo, P., Y.-H. Kuo, S. V. Sokolovskiy, and D. H. Lenschow, 2011: Estimating Atmospheric
475 Boundary Layer Depth Using COSMIC Radio Occultation Data. *J. Atmos. Sci.*, 68, 1703–1713.

476

477 Hennemuth, B., and A. Lammert, 2006: Determination of the Atmospheric Boundary Layer
478 Height from Radiosonde and Lidar Backscatter. *Boundary-Layer Meteor.*, 120, 181-200.

479

480 Heo, B.-H., S. Jacoby-Koaly, K.-E. Kim, B. Campistron, B. Benech, and E.-S. Jung, 2003: Use
481 of the Doppler Spectral Width to Improve the Estimation of the Convective Boundary Layer
482 Height from UHF Wind Profiler Observations. *J. Atmos. Oceanic Tech.*, 20, 408-424.

483

484 Hooper, W. P., and E. Eloranta, 1986: Lidar measurements of wind in the planetary boundary
485 layer: the method, accuracy and results from joint measurements with radiosonde and kytoon. *J.*
486 *Clim. Appl. Meteor.*, 25, 990-1001.

487

488 Jordan, N. S., R. M. Hoff, and J. T. Bacmeister, 2010: Validation of Goddard Earth Observing
489 System- version 5 MERRA planetary boundary layer heights using CALIPSO. *J. Geophys. Res.*,
490 115, D24218, doi:10.1029/2009JD013777.

491

492 Koster, R. D., Y. C. Sud, Z. Guo, P. A. Dirmeyer, G. Bonan, K. W. Oleson, E. Chan, D.
493 Verseghy, P. Cox, H. Davies, E. Kowalczyk, C. T. Gordon, S. Kanae, D. Lawrence, P. Liu, D.

494 Mocko, C.-H. Lu, K. Mitchell, S. Malyshev, B. McAvaney, T. Oki, T. Yamada, A. Pitman, C.
495 M. Taylor, R. Vasic, and Y. Xue, 2006: GLACE: The Global Land–Atmosphere Coupling
496 Experiment. Part I: Overview. *J. Hydrometeor.*, 7, 590-610.

497

498 Lewis, J. R., E. J. Welton, A. Molod, and E. Joseph, 2013: Improved boundary layer depth
499 retrievals from MPLNET. *J. Geophys. Res.: Atmospheres*, 118:17, 9870-9879.

500

501 Liu, S., and X-Z. Liang, 2010: Observed Diurnal Cycle Climatology of Planetary Boundary
502 Layer Height. *J. Climate*, 23, 5790-5809, doi:10.1175/2010JCLI3552.1.

503

504 Lammert, A., and Bösenberg, J., 2006: Determination of the Convective Boundary-Layer Height
505 with Laser Remote Sensing. *Boundary-Layer Meteor.*, 119(1), 159-170.

506

507 McGrath-Spangler, E. L., and A. S. Denning, 2012: Estimates of North American summertime
508 planetary boundary layer depths derived from space-borne lidar. *J. Geophys. Res.*, 117, D15,
509 doi:10.1029/2012JD017615.

510

511 Rienecker, M. M., M. J. Suarez, R. Gelaro, R. Todling, J. Bacmeister, E. Liu, M. G. Bosilovich,
512 S. D. Schubert, L. Takacs, G.-K. Kim, S. Bloom, J. Chen, D. Collins, A. Conaty, A. da Silva, W.
513 Gu, J. Joiner, R. D. Koster, R. Lucchesi, A. Molod, T. Owens, S. Pawson, P. Pegion, C. R.
514 Redder, R. Reichle, F. R. Robertson, A. G. Ruddick, M. Sienkiewicz, and J. Woollen, 2011:
515 MERRA: NASA’s Modern-Era Retrospective Analysis for Research and Applications. *J.*
516 *Climate*, 24, 3624-3648.

517

518 Rossow, W.B., A.W. Walker, D.E. Beuschel, and M.D. Roiter, 1996: International Satellite
519 Cloud Climatology Project (ISCCP) Documentation of New Cloud Datasets. WMO/TD-No. 737,
520 World Meteorological Organization, 115 pp. available at
521 <http://isccp.giss.nasa.gov/pub/documents/d-doc.pdf>

522

523 Seibert, P., F. Beyrich, S.-E. Gryning, S. Joffre, A. Rasmussen, and P. Tercier, 2000: Review and
524 intercomparison of operational methods for the determination of the mixing height. *Atmos.*
525 *Environ.*, 34, 1001-1027.

526

527 Seidel, D. J., C. O. Ao, and K. Li, 2010: Estimating climatological planetary boundary layer
528 heights from radiosonde observations: Comparison of methods and uncertainty analysis. *J.*
529 *Geophys. Res.*, 115, D16113, doi:10.1029/2009JD013680.

530

531 Simpson M., S. Raman J.K. Lundquist, and M Leach, 2006: A study of the variation of urban
532 mixed layer heights, *Atmos. Environ.*, 41, 6923-6930.

533

534 Singal, S. P., and M. Goel, 1997: Radio Acoustic Sounding System (RASS) for studying the
535 lower atmosphere. Acoustic Remote Sensing Application. S. P. Singal, ed. Narosa Publishing
536 House, New Delhi, India, 585 pp.

537

538 Spangler, T. C., and R. A. Dirks, 1974: Meso-scale variations of the urban mixing height.
539 *Boundary-Layer Meteor.*, 6:3-4, 423-441.

540

541 Steyn, D. G., M. Baldi, and R. Hoff, 1999: The Detection of Mixed Layer Depth from Lidar

542 Backscatter Profiles. *J. Atmos. Oceanic Tech.*, 16, 953–959.

543

544

545

546 **List of Figures**

547

548 Figure 1. Map of NOAA Profiler Network sites used in this study. Filled blue circles indicate
549 wind profiler stations, filled green circles identify wind profiler stations that also have RASS.
550 Red circles indicate the stations used to train the algorithm in this study.

551

552 Figure 2: Example of diurnal evolution of PBL height from Station 74541, Haviland, KS. (a)
553 Shading is backscatter signal strength in dB, blue triangles are estimates of PBL height computed
554 using the Richardson-based method and the black stars are the PBL heights from the wind
555 profiler algorithm. (b) Vertical profiles of the wind profiler backscatter up to a height of 4000 m
556 for every hour starting at 1PM local time.

557

558 Figure 3: Example of a discontinuous time series of PBL heights at Station 74541, from a) the
559 wind profiler algorithm (WP) and the Richardson number based algorithm and b) from MERRA.
560 c) Climatological diurnal cycle for all three estimates. Units of PBL height are m.

561

562 Figure 4: a) Variance of topographic height at scales less than 3 km in m^2 . b) diurnal evolution of
563 PBL height from Station 74629, White Sands, NM. Shading is backscatter signal strength in dB,
564 black stars are the PBL heights from the wind profiler algorithm. c) Vertical profiles of the wind
565 profiler backscatter for the same location as b) up to a height of 4000 m for every hour starting at
566 1PM local time.

567

568 Figure 5: Examples of diurnal evolution of PBL height from Station 74551, Lathrop, MO. (a)
569 Sample clear day's data. Shading is backscatter signal strength in dB, black stars are the PBL
570 heights from the wind profiler algorithm. (b) Vertical profiles of the wind profiler backscatter up
571 to a height of 4000 m for every hour starting at 1PM local time. c) same as a) but for a cloudy
572 day. d) same as b) but for a cloudy day.

573

574 Figure 6: Climatological diurnal cycles of wind profiler (red), Richardson number (green) and
575 MERRA (blue) estimates of PBL height in m under clear conditions for the 7 stations with
576 RASS. Station numbers correspond to the labels in Figure 1. a) Station 74541, b) Station 74542,
577 c) Station 74546, d) Station 74648, e) Station 74735, f) Station 74640, g) Station 74649.

578

579 Figure 7: Geographical distribution of daily maximum PBL height under clear sky conditions in
580 m from a) wind profiler estimate and b) MERRA estimate.

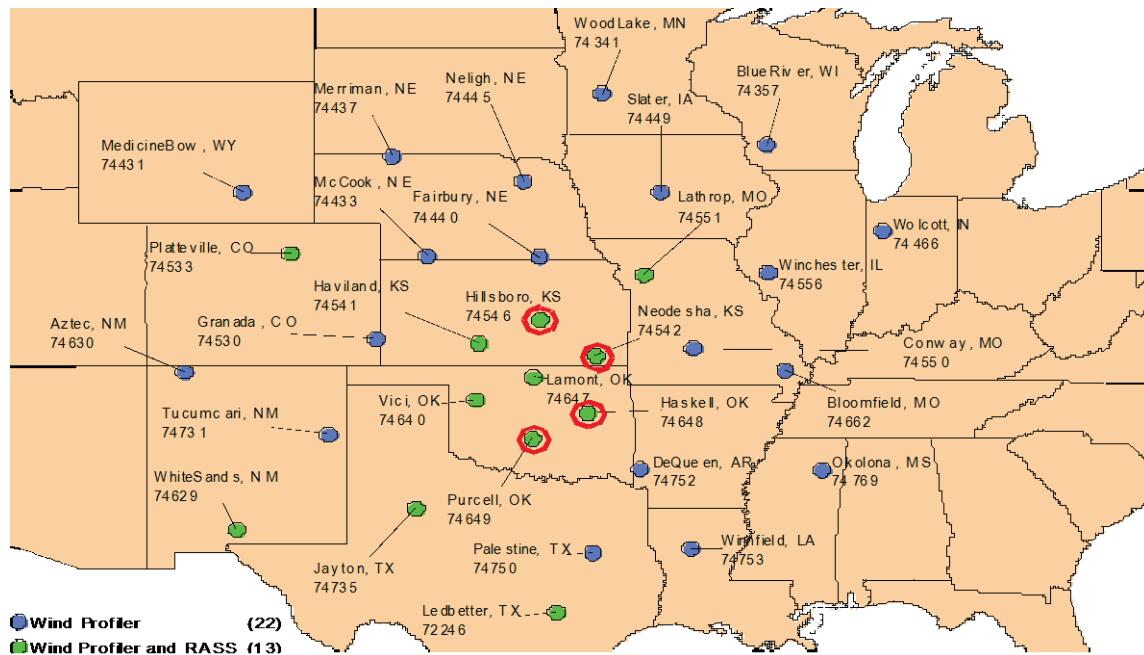
581

582 Figure 8: Climatological diurnal cycles of wind profiler (red), Richardson number (green) and
583 MERRA (blue) estimates of PBL height in m under clear conditions (solid lines) conditions of
584 greater than 50% cloud cover for the 8 stations with RASS. Station numbers correspond to the
585 labels in Figure 1. a) Station 74541, b) Station 74542, c) Station 74546, d) Station 74648, e)
586 Station 74735, f) Station 74640, g) Station 74649.

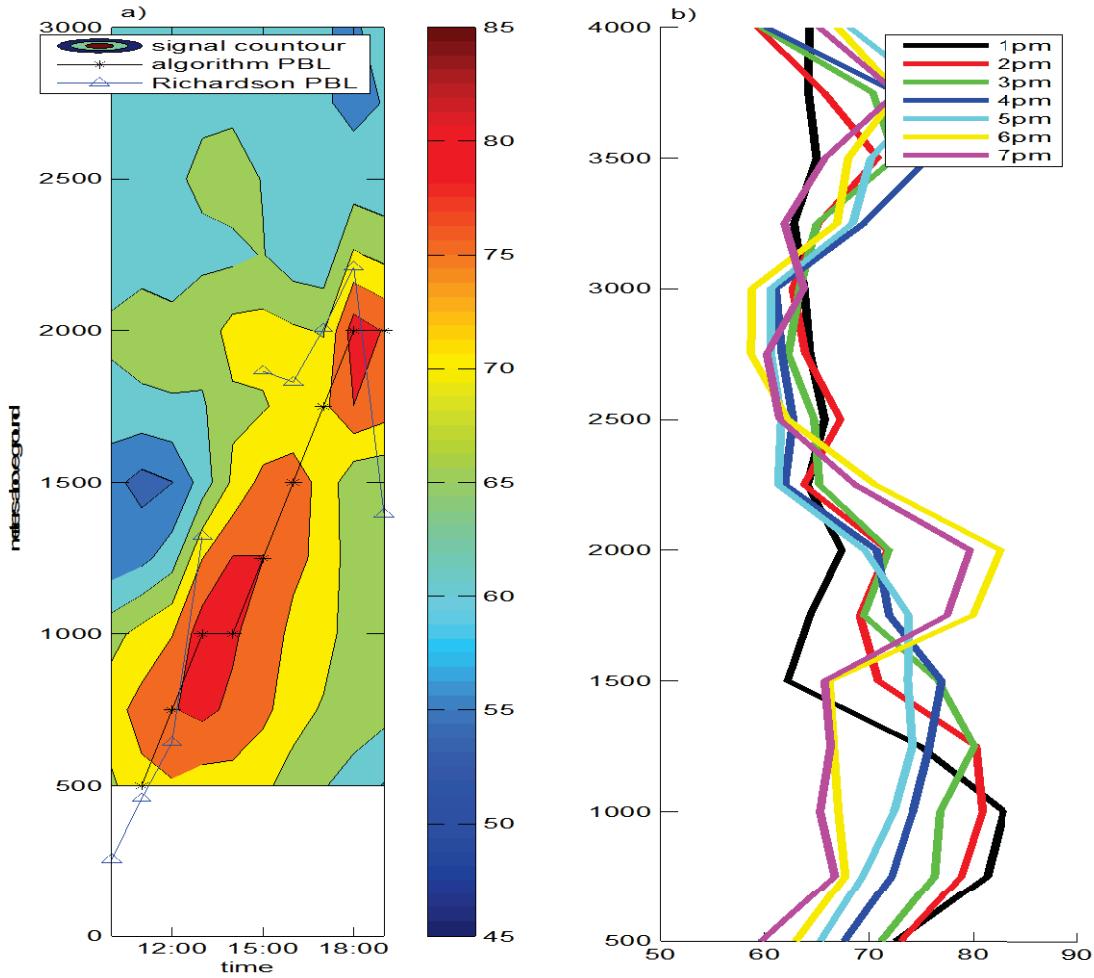
587

588 Figure 9: Geographical distribution of daily maximum clear sky PBL height minus daily
589 maximum cloudy PBL height in m from a) wind profiler estimate and b) MERRA estimate.

590



594 Figure 1. Map of NOAA Profiler Network sites used in this study. Filled blue circles indicate
 595 wind profiler stations, filled green circles identify wind profiler stations that also have RASS.
 596 Red circles indicate the stations used to train the algorithm in this study.

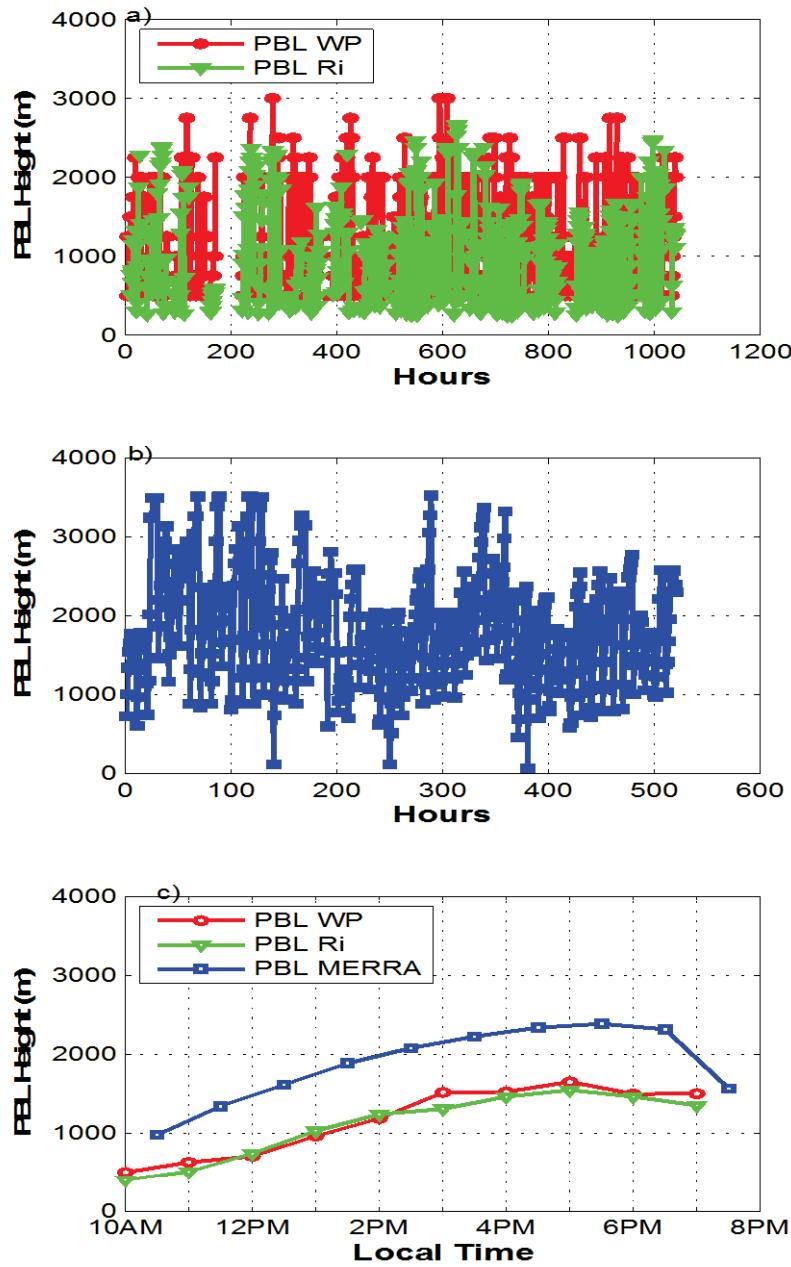


598

599 Figure 2: Example of diurnal evolution of PBL height from Station 74541, Haviland, KS)
 600 Shading is backscatter signal strength in dB, blue triangles are estimates of PBL height computed
 601 using the Richardson-based method and the black stars are the PBL heights from the wind
 602 profiler algorithm. b) Vertical profiles of the wind profiler backscatter up to a height of 4000 m
 603 for every hour starting at 1PM local time.

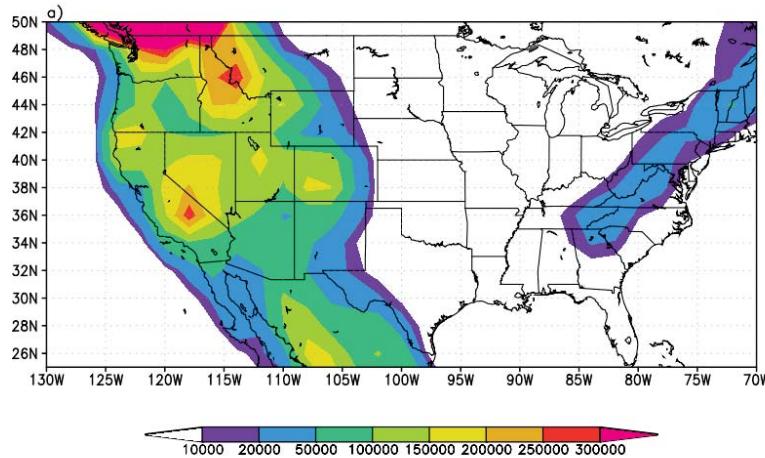
604

605

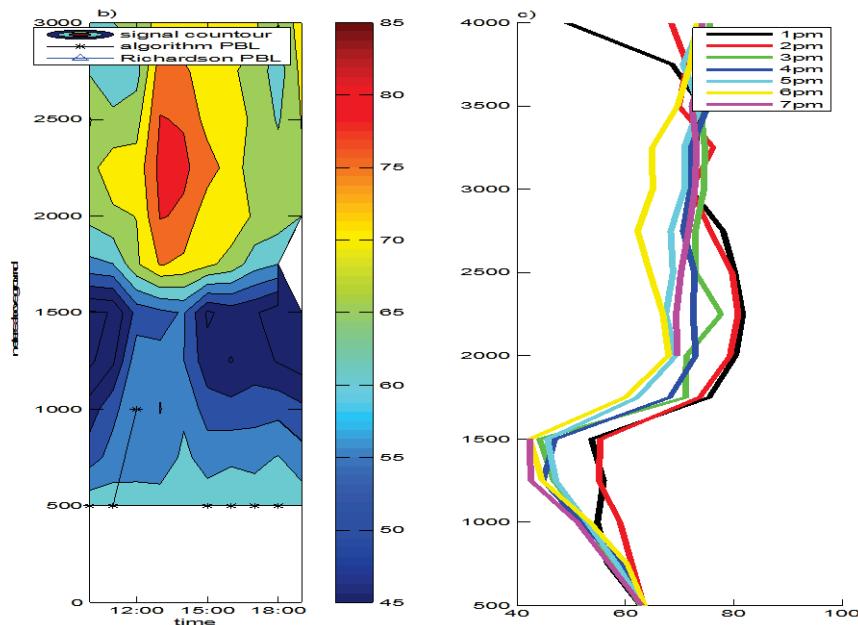


606

607 Figure 3: Example of a discontinuous time series of PBL heights at Station 74541, from a) the
 608 wind profiler algorithm (WP) and the Richardson number (Ri) based algorithm and b) from
 609 MERRA. c) Climatological diurnal cycle for all three estimates. Units of PBL height are m.



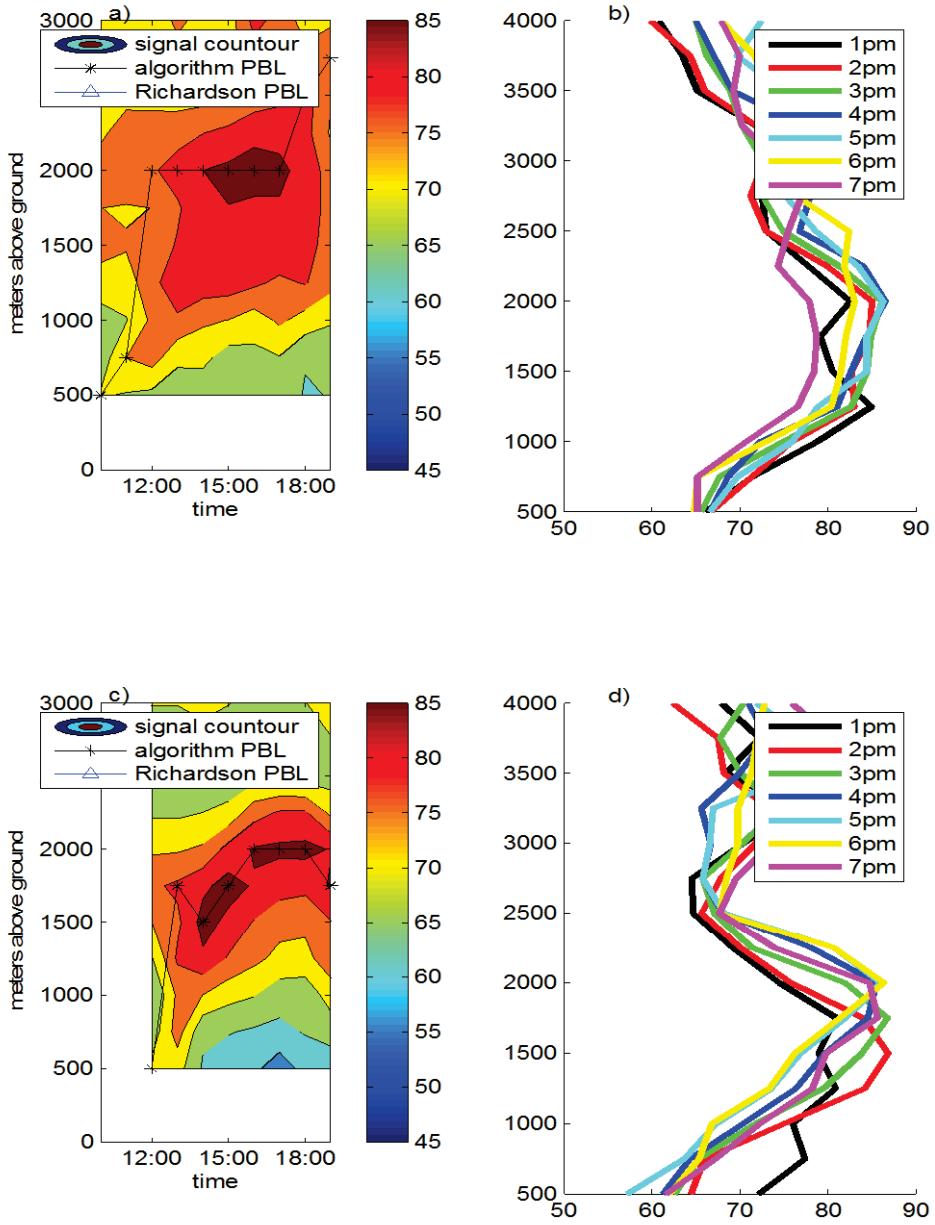
610



611

612 Figure 4: a) Variance of topographic height at scales less than 3 km in m². b) diurnal evolution
 613 of PBL height from Station 74629, White Sands, NM. Shading is backscatter signal strength in
 614 dB, black stars are the PBL heights from the wind profiler algorithm. c) Vertical profiles of the
 615 wind profiler backscatter for the same location as b) up to a height of 4000 m for every hour
 616 starting at 1PM local time.

617

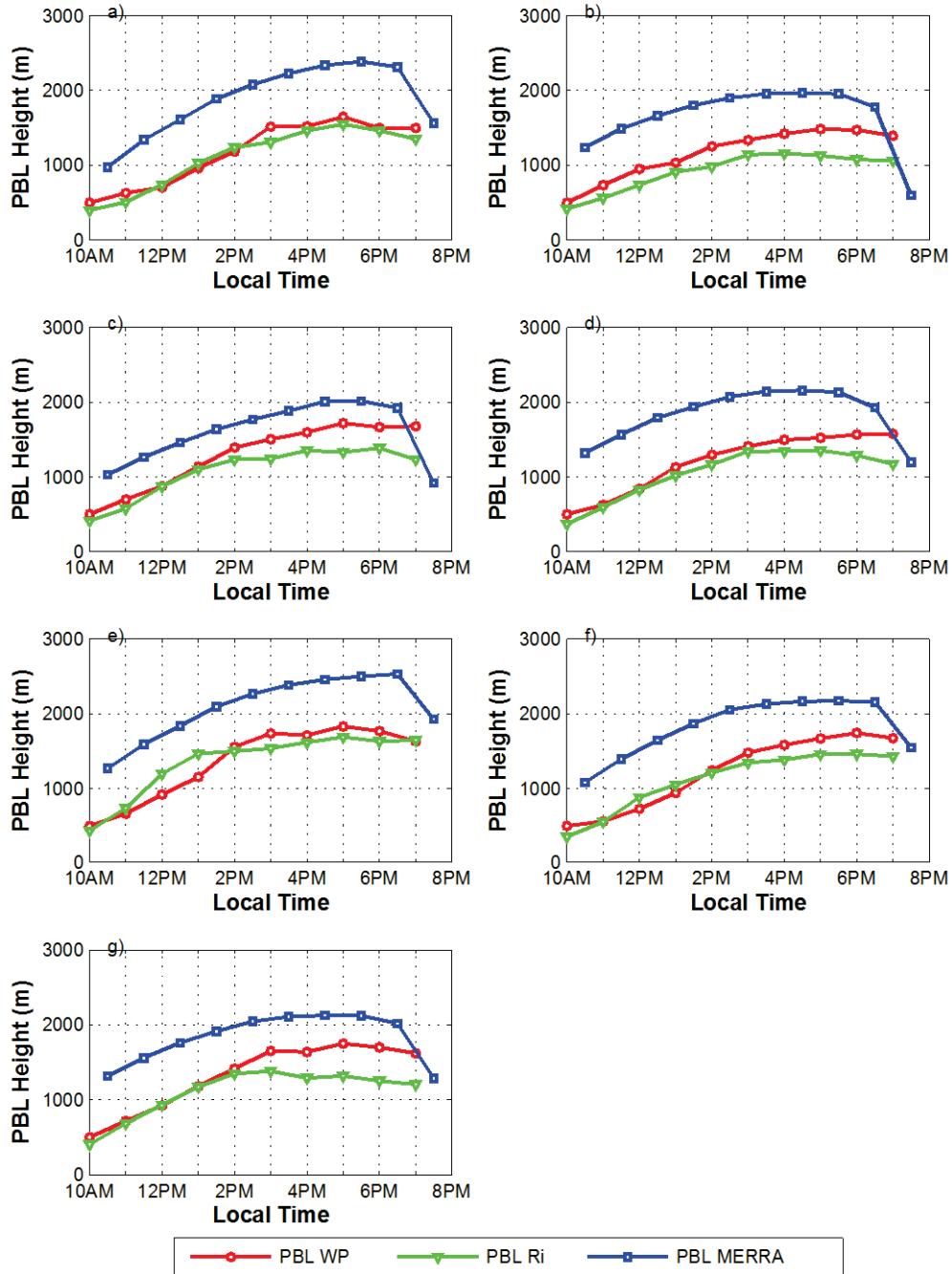


618

619 Figure 5: Examples of diurnal evolution of PBL height from Station 74551, Lathrop, MO. (a)
 620 Sample clear day's data. Shading is backscatter signal strength in dB, black stars are the PBL
 621 heights from the wind profiler algorithm. (b) Vertical profiles of the wind profiler backscatter up
 622 to a height of 4000 m for every hour starting at 1PM local time. c) same as a) but for a cloudy
 623 day. d) same as b) but for a cloudy day.

624

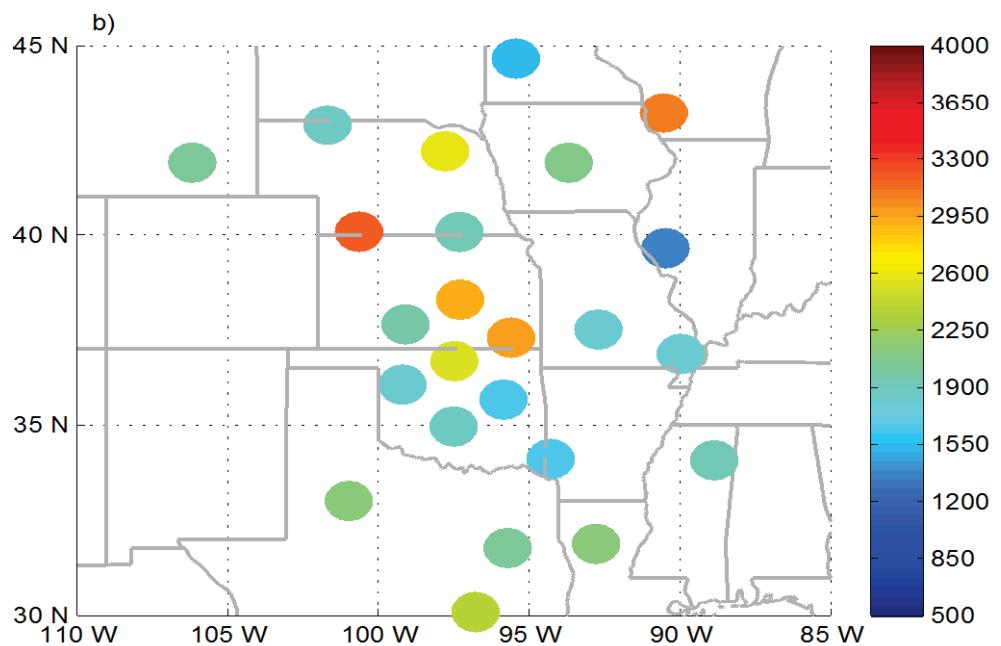
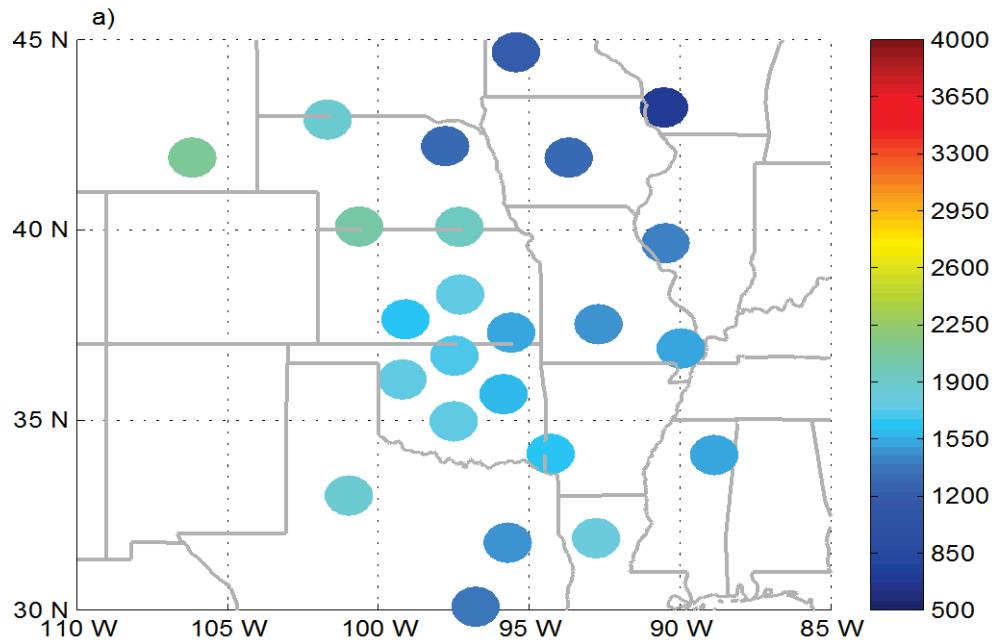
625



626

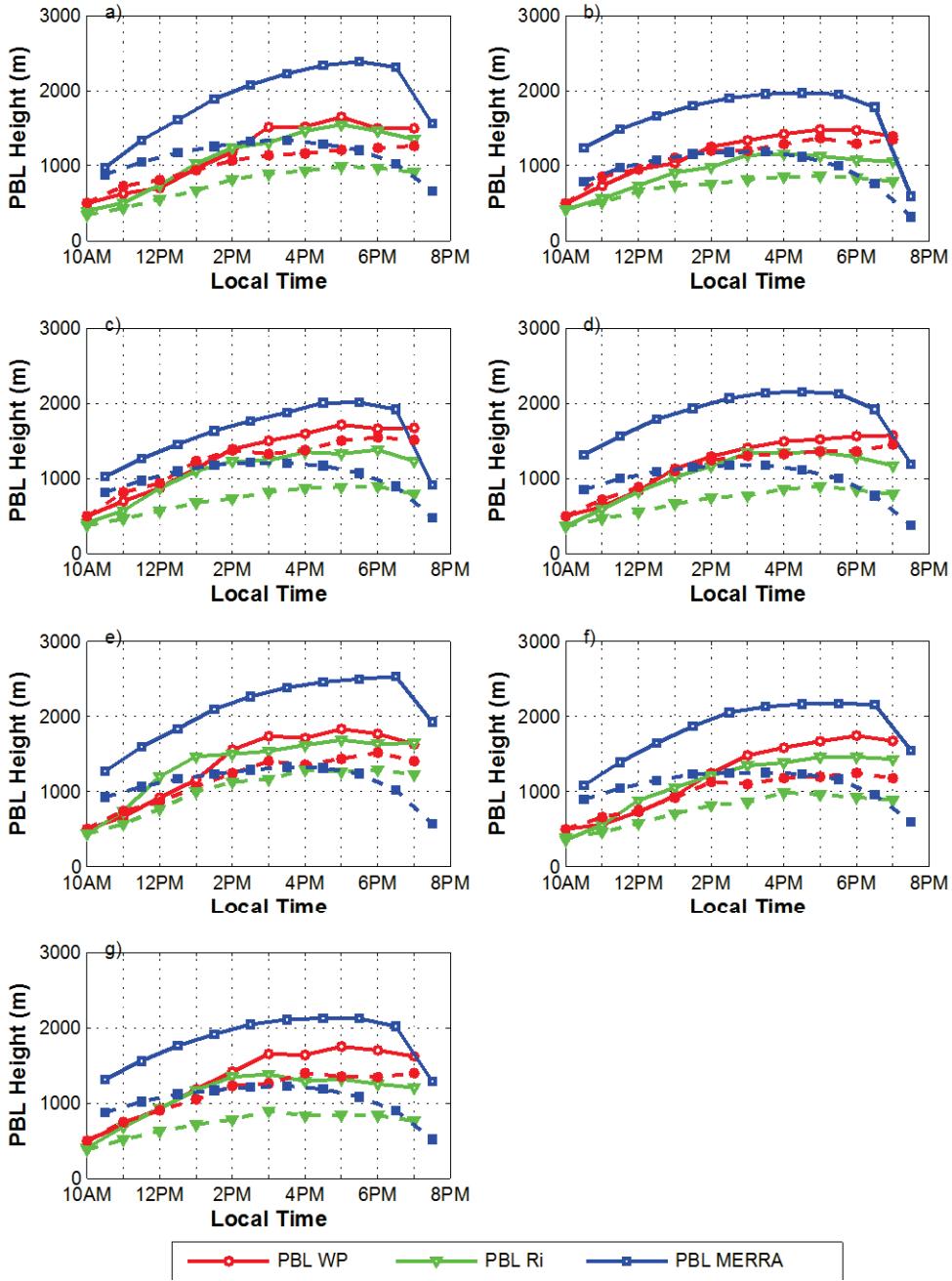
627 Figure 6: Climatological diurnal cycles of wind profiler (red), Richardson number (green) and
 628 MERRA (blue) estimates of PBL height in m under clear conditions for the 8 stations with
 629 RASS. Station numbers correspond to the labels in Figure 1. a) Station 74541, b) Station 74542,
 630 c) Station 74546, d) Station 74648, e) Station 74735, f) Station 74640, g) Station 74649.

631



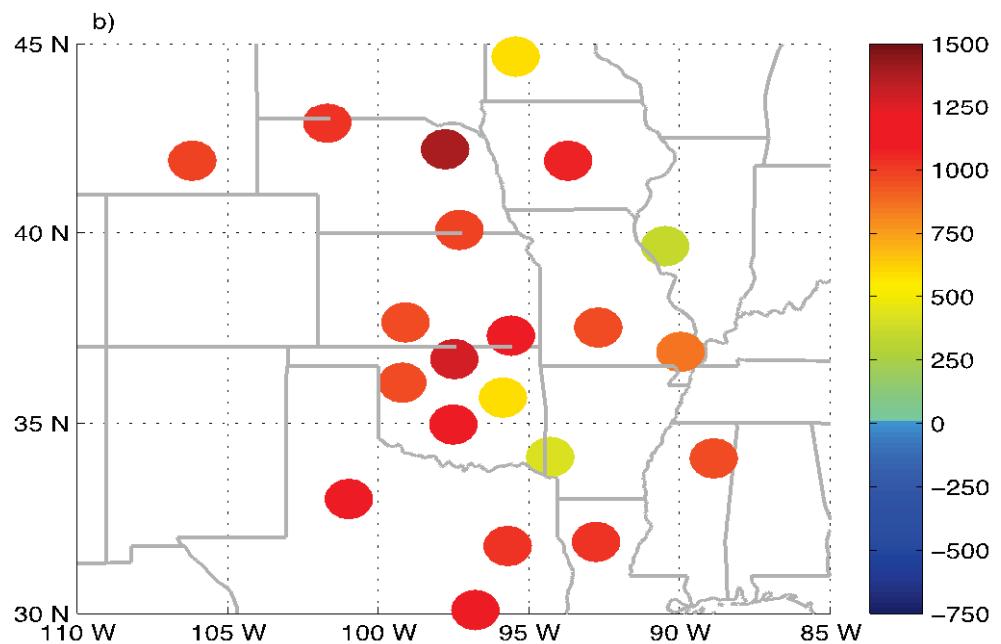
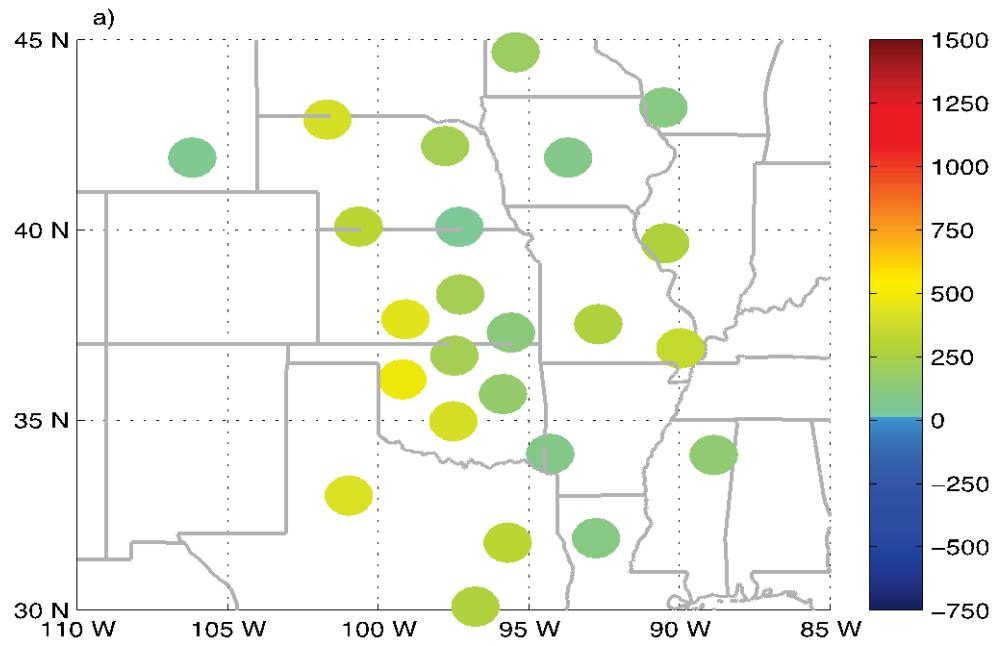
632

633 Figure 7: Geographical distribution of daily maximum PBL height under clear sky conditions in
634 m from a) wind profiler estimate and b) MERRA estimate.



635

636 Figure 8: Climatological diurnal cycles of wind profiler (red), Richardson number (green) and
 637 MERRA (blue) estimates of PBL height in m under clear conditions (solid lines) conditions of
 638 greater than 50% cloud cover for the 8 stations with RASS. Station numbers correspond to the
 639 labels in Figure 1. a) Station 74541, b) Station 74542, c) Station 74546, d) Station 74648, e)
 640 Station 74735, f) Station 74640, g) Station 74649.



641

642 Figure 9: Geographical distribution of daily maximum clear sky PBL height minus daily
643 maximum cloudy PBL height in m from a) wind profiler estimate and b) MERRA estimate.